

MEMORANDUM

TO: File Number S7-08-12; File Number S7-05-14

FROM: Carol M. McGee
Assistant Director
Office of Derivatives Policy, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: June 5, 2018

RE: Meeting with Representatives of Credit Suisse

On June 4th, 2018, Commission staff met with representatives from Credit Suisse to discuss issues related to Title VII of the Dodd Frank Act including: foreign blocking, privacy, secrecy, labor and employment laws; requirements related to security-based swaps that are arranged negotiated or executed from a location in the U.S.; capital margin and segregation; trade reporting fields; and mechanics and external business conduct requirements.

The following representatives from Credit Suisse attended: Maria Chiodi , Lauri Cohen, Maggie Gage, Joe Seidel, Drew Shoemaker and, by telephone, Ed Tsai. Commission staff included Roni Bergoffen, Yvonne Fraticelli, Mike Gaw, Joshua Kans, Carol McGee, Tom McGowan, David Michehl, Justin Pica, Brett Redfearn, John Roeser, Devin Ryan, Heather Seidel and Ajay Sutaria and, by telephone, William Katt and Bonnie Gauch.