

MEMORANDUM

TO: File Nos. S7-08-11 and S7-27-10 and File on Title VII of the Dodd-Frank
Wall Street Reform and Customer Protection Act

FROM: Andrew Bernstein
Division of Trading and Markets

RE: Telephone Conversation with Representatives from D. E. Shaw & Co.,
L.P.

DATE: June 20, 2011

On June 20, 2011, representatives from the Securities and Exchange Commission (“SEC”) spoke by telephone with representatives from D. E. Shaw & Co., L.P. (“D.E. Shaw”). SEC representatives were Catherine Moore and Andrew Bernstein (both from the Division of Trading and Markets). D.E. Shaw representatives were Jonathan Ching and Jonathan Goldberg. During the telephone conversation, the SEC representatives and the D.E. Shaw representatives discussed issues related to the above-referenced files.