

MEMORANDUM

To: File No. S7-07-19
From: Kay Smith, Senior Advisor to Chairman Jay Clayton
Date: December 10, 2019
Re: Meeting with Nomura

On December 4, 2019, Kay Smith, Sean Memon, and Alan Cohen participated in a meeting with the following representatives of Nomura:

- James DeNaut;
- Steven Aloupis;
- Eugene Chiulli;
- Angie Karna; and
- Randall Ross.

File No. S7-07-19 was discussed, among other things.