

MEMORANDUM

To: File No. S7-07-19
From: Kay Smith, Senior Advisor to Chairman Jay Clayton
Date: November 26, 2019
Re: Meeting with the Securities Industry and Financial Markets Association and the Institute of International Bankers

On September 16, 2019, Kay Smith and Alan Cohen participated in a meeting with the following representatives of the Securities Industry and Financial Markets Association and the Institute of International Bankers:

- Kyle Brandon, SIFMA;
- Lauri Cohen, Credit Suisse;
- Colin Lloyd, Cleary Gottlieb;
- Briget Polichene, IIB;
- Joerg Riegel, Societe Generale;
- Nik Van Dusen, Goldman Sachs;
- Stephanie Webster, IIB; and
- Ian Weston, JP Morgan.

Participants discussed the cross-border application of Title VII regulatory requirements to security-based swap dealers.