

## MEMORANDUM

**To:** File No. S7-07-19  
**From:** Richard Gabbert, Counsel to Commissioner Hester M. Peirce  
**Re:** Meeting with Barclays

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On October 9, 2019, Richard Gabbert, legal adviser to Commissioner Hester Peirce, participated in a meeting with the following personnel of Barclays: Mak Judge, John Laws, Yuri Yasue, Hiroshi Tsujimoto, and Akio Shima.

Participants discussed issues relating to cross-border application of Title VII regulatory requirements to security-based swap dealers and data privacy regulations in Asian and European jurisdictions.