

MEMORANDUM

To: File No. S7-07-19

From: Richard Gabbert, Counsel to Commissioner Hester M. Peirce

Re: Meeting with Representatives of the European Banking Federation

On September 25, 2019, Commissioner Hester Peirce and her legal advisor, Richard Gabbert, participated in a meeting with the following representatives of the European Banking Federation and certain of its members:

- Blazej Blasikiewicz, EBF;
- Keaghan Ames, Credit Suisse;
- John Nolan, UBS;
- Joerg Riegel, Societe Generale;
- Tom Rosenkoetter, BNP Paribas;
- Melissa Ruth from Natixis;
- Jeff Siegel from BNP Paribas; and
- Erik Soderberg from Deutsche Bank.

Participants discussed issues relating to cross-border application of Title VII regulatory requirements to security-based swap dealers, including issues related to the certification and opinion of counsel requirements, reporting requirements, and background check requirements for certain associated persons.