

## MEMORANDUM

**To:** Public Comment File on proposed Regulation Best Interest (File No. S7-07-18)

**From:** Elizabeth Blase, Counsel to Commissioner Elad L. Roisman

**Re:** Meeting with the Edelman Financial Engines (“Financial Engines”)

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On May 8, 2019, Commissioner Elad L. Roisman and his counsels (Elizabeth Blase and Nicholas Losurdo) met with the following representatives of Financial Engines:

- Chris Jones, Executive Vice President of Investment Management and Chief Investment Officer;
- Amy Conley, Head of Investor Relations
- Lauren Flynn, Government Affairs Analyst;
- David Weiskopf, Consultant;
- Dan Crowley of K&L Gates;
- Karishma Page of K&L Gates.

The participants discussed a number of issues related to the Commission’s proposed Regulation Best Interest and Financial Engines comment letter on the same.