

## MEMORANDUM

**To:** File Nos. S7-07-18, S7-08-18, S7-09-18

**From:** Nicholas J. Losurdo  
Counsel to Commissioner Elad L. Roisman

**Date:** April 22, 2019

**Re:** Meeting with Representatives from North American Securities Administrators Association (“NASAA”)

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On April 22, 2019, Nicholas Losurdo, Counsel to Commissioner Elad L. Roisman, met with the following representatives from NASAA:

- Mike Pieciak
- Joey Brady
- Valerie Mirko
- Mike Canning (via phone)
- Andrea Seidt (via phone)
- Frank Borger-Gilligan (via phone)

The topics discussed included the Commission’s proposed Regulation Best Interest. NASAA has submitted letters to the comment files on these matters.