

MEMORANDUM

To: File Nos. S7-07-18 and S7-08-18

From: Frank Buda
Counsel to Commissioner Robert J. Jackson, Jr.
U.S. Securities and Exchange Commission

Date: April 10, 2019

Re: Discussion with the Institute for Portfolio Alternatives

On April 9, 2019, Commissioner Jackson and his counsel, Frank Buda, met with representatives from the Institute for Portfolio Alternatives. Among the topics discussed were Proposed Regulation Best Interest and the Proposed Form CRS Relationship Summary.