

MEMORANDUM

TO: File No. S7-07-18  
FROM: Division of Trading and Markets  
RE: Telephone Meeting with U.S. Chamber of Commerce  
DATE: March 5, 2019

On December 21, 2018, Lourdes Gonzalez of the Division of Trading and Markets participated in a telephone meeting with Samantha DeZur, Director, Center for Capital Market Competitiveness of the U.S. Chamber of Commerce.

The participants discussed the proposed standard of conduct for broker-dealers as well as alternative formulations of the standard.