

MEMORANDUM

To: File

From: Caroline Crenshaw
Counsel to Commissioner Robert J. Jackson
U.S. Securities and Exchange Commission

Date: October 30, 2018

Re: Discussion with CFA Institute

On October 30th, 2018 Commissioner Robert J. Jackson, met with James Allen, Head of Capital Markets Policy for the CFA Institute.

Among the topics discussed were the Commission's Proposed Rule Regulation Best Interest.