

MEMORANDUM

To: Public Comment File on proposed Regulation Best Interest (File No. S7-07-18)
From: Elizabeth Blase, Counsel to Commissioner Elad L. Roisman
Re: Meeting with Edward Jones (“Edward Jones”)

On December 14, 2018, Commissioner Elad L. Roisman and I met with the following representatives of Edward Jones:

- Chris Lewis, Principal, General Counsel;
- Jesse Hill, Principal, Government & Regulatory Relations;
- Merri Jo Gillette, Principal, Legal-Regulatory, Corporate Filing & Risk;
- Wayne Roberts, Principal, Tailored Solutions; and
- Lynette McCloud, Principal - Legal-Enterprise Solutions.

The participants discussed a number of issues related to the Commission’s proposed Regulation Best Interest and Edward Jones’ comment letter on the same.