

MEMORANDUM

To: Public Comment Files S7-07-18, S7-08-18, and S7-09-18

From: Trace W. Rakestraw
Counsel to Commissioner Kara M. Stein
U.S. Securities and Exchange Commission

Date: 12/11/18

Re: Meeting with Representatives from AARP

On December 10, 2018, Jill Henderson (Counsel to Commissioner Stein), Sirmal Mukerjee (Counsel to Commissioner Stein), and Trace Rakestraw (Counsel to Commissioner Stein) met with the following representatives from AARP:

- Cristina Martin Firvida, Vice President
- David Certner, Vice President

Topics discussed included Proposed “Regulation Best Interest”, Proposed Form CRS and the Proposed Commission Interpretation Regarding Standard of Conduct for Investment Advisers.