

MEMORANDUM

TO: File No. S7-07-18

FROM: Marc Sharma, Chief Counsel
Office of the Investor Advocate

DATE: November 20, 2018

RE: Meeting with Representatives of the American Retirement Association

On November 20, 2018, Rick Fleming, Investor Advocate, and Marc Sharma, Chief Counsel of the Office of the Investor Advocate, met with the following representatives of the American Retirement Association: Craig P. Hoffman, General Counsel; and Douglas Fisher, Director of Retirement Policy. The participants discussed, among other things, the Commission's proposed Regulation Best Interest.