

## MEMORANDUM

**TO:** File Nos. S7-07-18; S7-08-18; S7-09-18

**FROM:** Adam B. Glazer  
Counsel to Commissioner Hester M. Peirce

**RE:** Meeting with Representatives of MassMutual

**DATE:** November 13, 2018

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On November 13, 2018, Commissioner Hester M. Peirce and her legal advisor, Adam Glazer, met with the following representatives of MassMutual:

- John Deitelbaum, Senior Vice President & Deputy General Counsel;
- Robert Rosenthal, Vice President & Associate General Counsel;
- James Sonne, Government Relations; and
- Lendell Porterfield, Porterfield, Fettig and Sears, LLC.

The participants discussed, among other things, the Commission's proposed new rule, Regulation Best Interest, in Securities Exchange Act Release No. 83062 (Apr. 18, 2018); proposed new form, Form CRS, in Securities Exchange Act Release No. 83063 (Apr. 18, 2018); and the proposed Commission interpretation regarding standard of conduct for investment advisers in Investment Advisers Act Release No. 4889 (Apr. 18, 2018).