

MEMORANDUM

TO: File Nos. S7-07-18; S7-08-18

FROM: Adam B. Glazer
Counsel to Commissioner Hester M. Peirce

RE: Meeting Regarding Proposed Regulation Best Interest and Proposed Form CRS

DATE: October 26, 2018

On October 19, 2018, Commissioner Hester M. Peirce and her legal advisor, Adam Glazer, met with the following:

- Mark Halloran, VP Managing Dir National Accounts Development (Transamerica);
- Maurice Perkins, Global Gov't Affairs (Transamerica);
- Will Fuller, President of Annuity Solutions, Lincoln Financial Distribution, and Lincoln Financial Network (Lincoln Financial);
- Carrie Chelko (Lincoln Financial);
- Drew Bowden, General Counsel (Jackson National);
- Chin Kim, AVP, Retirement Strategies, Retirement Solutions Division (Pacific Life); and
- Seaver Sowers, Gov't Affairs (Pacific Life)

The participants discussed, among other things, the Commission's proposed new rule, Regulation Best Interest, in Securities Exchange Act Release No. 83062 (Apr. 18, 2018) and proposed new form, Form CRS, in Securities Exchange Act Release No. 83063 (Apr. 18, 2018).