

MEMORANDUM

TO: File Nos. S7-07-18; S7-08-18

FROM: Adam B. Glazer
Counsel to Commissioner Hester M. Peirce

RE: Meeting with Representatives of New York Life Insurance Company (“New York Life”)

DATE: October 25, 2018

On October 24, 2018, Commissioner Hester M. Peirce and her legal advisor, Adam Glazer, met with the following representatives of New York Life:

- Ted Mathas, CEO;
- Thomas F. English, Senior Vice President and Chief Insurance Counsel;
- Alison O’Donnell, Vice President; and
- Julie E. Herwig, Senior Vice President, Head of Federal Governmental Affairs.

The participants discussed, among other things, the Commission’s proposed new rule, Regulation Best Interest, in Securities Exchange Act Release No. 83062 (Apr. 18, 2018) and proposed new form, Form CRS, in Securities Exchange Act Release No. 83063 (Apr. 18, 2018).