

MEMORANDUM

To: File

From: Caroline Crenshaw
Counsel to Commissioner Robert J. Jackson
U.S. Securities and Exchange Commission

Date: October 19, 2018

Re: Discussion with SIFMA

On October 17, 2018, Commissioner Robert J. Jackson and his counsel, Caroline Crenshaw, met with Ira Hammerman (SIFMA), Kevin Carroll (SIFMA), Ken Cella (Edward Jones), Mike Cowl (UBS), Yoon-Young Lee (Wilmer Hale), and Stephanie Nicolas (Wilmer Hale). The topics discussed were the Commission's proposed Regulation Best Interest and Form CRS.