

MEMORANDUM

TO: File Nos. S7-07-18

FROM: Adam B. Glazer
Counsel to Commissioner Hester M. Peirce

RE: Meeting with Representatives of Charles Schwab

DATE: September 27, 2018

On September 26, 2018, Commissioner Hester M. Peirce and her legal advisor, Adam Glazer, met with the following representatives of Charles Schwab:

- Bernie Clark, Executive Vice President, Head of Schwab Advisor Services;
- Scott Eckel, Vice President, Legislative & Regulatory Affairs;
- Rob Farmer, Managing Director for Communications; and
- Peter Roberson, Vice President, Legislative & Regulatory Affairs.

The participants discussed, among other things, the Commission's proposed new rule, Regulation Best Interest, in Securities Exchange Act Release No. 83062 (Apr. 18, 2018).