

MEMORANDUM

To: File

From: Caroline Crenshaw
Counsel to Commissioner Robert J. Jackson
U.S. Securities and Exchange Commission

Date: August 16, 2018

Re: Discussion with Morningstar

On August 15, 2018, Caroline Crenshaw and Satyam Khanna, counsels to Commissioner Robert J. Jackson, met with Aron Szapiro, Jake Speigel, Anna Nordseth, and Jasmin Sethi of Morningstar. Among the topics discussed were the Commission's proposed Regulation Best Interest and Form CRS.