

MEMORANDUM

To: File No. S7-07-18
From: Richard Gabbert, Counsel to Commissioner Hester M. Peirce
Re: Meeting with Representatives of Charles Schwab

On July 24, 2018, Commissioner Hester Peirce and her legal advisor, Richard Gabbert, met with the following representatives of Charles Schwab:

- Christopher Gilkerson, and
- Jeff Brown.

Among the topics discussed were issues related to proposed Regulation Best Interest.