

MEMORANDUM

To: File No. S7-07-18
From: Richard Gabbert, Counsel to Commissioner Hester M. Peirce
Re: Meeting with Representatives of Fidelity

On July 11, 2018, Richard Gabbert, legal advisor to Commissioner Hester Peirce, met with the following representatives of Fidelity:

- Jay Haines (Fidelity),
- David Forman (Fidelity),
- Andrew Vermilye (Fidelity), and
- Justin Daly (Daly Consulting Group).

Among the topics discussed were issues related to proposed Regulation Best Interest.