

MEMORANDUM

TO: File Nos. S7-07-18; S7-08-18; S7-09-18

FROM: Adam B. Glazer
Counsel to Commissioner Hester M. Peirce

RE: Meeting with Representatives of Jane Street

DATE: July 18, 2018

On July 18, 2018, Commissioner Hester M. Peirce and her legal advisor, Adam Glazer, met with the following representatives of Jane Street:

- Rob Granieri (CEO);
- Josh Kulkin (Head of Trading);
- Matt Berger (Head of Fixed Income and Commodities); and
- Andrew Steinman (Legal and Regulatory Affairs).

The participants discussed, among other things, the Commission's proposed new rule, Regulation Best Interest, in Securities Exchange Act Release No. 83062 (Apr. 18, 2018); proposed new form, Form CRS, in Securities Exchange Act Release No. 83063 (Apr. 18, 2018); and the proposed Commission interpretation regarding standard of conduct for investment advisers in Investment Advisers Act Release No. 4889 (Apr. 18, 2018).