

MEMORANDUM

TO: File Nos. S7-07-18; S7-08-18

FROM: Adam B. Glazer
Counsel to Commissioner Hester M. Peirce

RE: Meeting with Representatives of New York Life Insurance Company (“New York Life”)

DATE: July 12, 2018

On July 12, 2018, Adam Glazer, legal advisor to Commissioner Hester M. Peirce, met with the following representatives of New York Life:

- Thomas F. English (Deputy General Counsel and Chief Insurance Counsel);
- Mike McDonnell (Vice President and Associate General Counsel);
- Alison O’Donnell (Government Affairs);
- Julie E. Herwig (Government Affairs); and
- Ben Brown (Executive Vice President, Patomak Global Partners).

The participants discussed, among other things, the Commission’s proposed new rule, Regulation Best Interest, in Securities Exchange Act Release No. 83062 (Apr. 18, 2018) and proposed new form, Form CRS, in Securities Exchange Act Release No. 83063 (Apr. 18, 2018).