

MEMORANDUM

To: Public Comment Files S7-07-18 and S7-08-18

From: Trace W. Rakestraw
Counsel to Commissioner Kara M. Stein
U.S. Securities and Exchange Commission

Date: 6/20/18

Re: Meeting with Representatives from Financial Engines

On June 20, 2018, Sirimal Mukerjee (Counsel to Commissioner Stein), Trace Rakestraw (Counsel to Commissioner Stein) and Ariel Borgendale (Intern to Commissioner Stein) met with Christopher L. Jones (Chief Investment Officer & Executive Vice President, Investment Management, Financial Engines), David Weiskopf (Principal, Weiskopf Consulting) and Dean A. Brazier (K&L Gates). Topics discussed included Proposed "Regulation Best Interest" and Proposed Form CRS.