

MEMORANDUM

TO: File Nos. S7-07-18, S7-08-18, S7-09-18

FROM: Marc Sharma, Chief Counsel
Office of the Investor Advocate

DATE: May 18, 2018

RE: Telephone Meeting with Representative of Donnelley Financial Solutions

On May 18 2018, Marc Sharma, Chief Counsel of the Office of the Investor Advocate, participated in a telephone meeting with Steve Margolis, Executive Director, Donnelley Financial Solutions. Among the topics discussed were the Commission's proposed rules and interpretation relating to standards of conduct for investment professionals.