

MEMORANDUM

TO: File Nos. S7-07-18, S7-08-18, S7-09-18

FROM: Marc Sharma, Chief Counsel
Office of the Investor Advocate

DATE: May 10, 2018

RE: Meeting with Representative of the Institute for the Fiduciary Standard

On May 10, 2018, Marc Sharma, Chief Counsel of the Office of the Investor Advocate, met with Knut A. Rostad, President of the Institute for the Fiduciary Standard. Among the topics discussed were the Commission's proposed rules and interpretation relating to standards of conduct for investment professionals.