

To: File No.: S7-22-21; S7-03-22; S7-10-22, S7-17-22; S7-16-22; S7-18-21; S7-20-21; S7-06-22  
From: Office of Public Engagement  
Date: 6/9/23  
Re: Meeting with Better Markets

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On June 9, 2023, Chair Gensler and SEC staff met with Better Markets to discuss, among other matters, the following items below. Better Markets encouraged SEC staff to finalize the proposed rules before the Congressional Review Act window.

- Money Market Fund Reforms Modernization - S7-22-21
- Private Fund Advisers; Documentation of Registered Investment Adviser Compliance Reviews - S7-03-22
- The Enhancement and Standardization of Climate-Related Disclosures for Investors, S7-10-22
- Environmental, Social, and Governance Disclosures for Investment Advisers and Investment Companies - S7-17-22
- Investment Company Names - S7-16-22
- Reporting of Securities Loans - S7-18-21
- Rule 10b5-1 and Insider Trading - S7-20-21
- Beneficial Ownership Reporting, S7-06-22

Members of the SEC included:

- Chair Gary Gensler
- Amanda Fischer, Chief of Staff
- Heather Slavkin Corzo, Director of Policy

Representatives from Better Markets included:

- Dennis Kelleher, President and Chief Executive Officer
- Steve Hall, Legal Director and Securities Specialist
- Scott Farnin, Legal Counsel
- Brady Williams, Legal Counsel
- Mona Benach, Senior Securities Specialist