

**MEMORANDUM**

TO: File No. S7-06-15

FROM: Richard E. Grant  
Office of Commissioner Michael S. Piwowar

DATE: October 19, 2015

SUBJECT: Meeting with Representatives of Citadel

On October 19, 2015, Richard Grant met with Citadel representatives Adam Cooper (Citadel), Ritesh Shah (Citadel), Stephen Berger (Citadel), Jonah Platt (Citadel), and Hope Jarkowski (Delta Strategy Group).

At the meeting, the Citadel representatives presented their views and observations on the implementation of the Dodd-Frank Act, including the application of Title VII of the Dodd-Frank Act to cross-border security-based swaps.