

## MEMORANDUM

TO: File No. S7-06-11

FROM: Iliana Lundblad

RE: Implementation of Dodd-Frank Wall Street Reform and Consumer Protection Act  
S7-06-11 – Registration and Regulation of Security-Based Swap Execution  
Facilities

DATE: November 14, 2011

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On November 4, 2011, Nancy Burke-Sanow, Michael Gaw, Jeffrey Mooney, Gregg Berman, Joseph Furey, Tom Eady, David Liu, Iliana Lundblad, Heidi Pilpel, Darren Vieira, David Michehl, Yue Ding, Kathleen Gross, Andrew Blake, Chester Hill, and Jasmin Sethi from the Division of Trading and Markets met with Chris Ferreri (Managing Director, ICAP), Patrick McCarty (Managing Director, Government Relations, ICAP), Edward Brown (ICAP), Scott Parsons (Delta Strategy Group) and Isabelle Corbett (Delta Strategy Group).

The discussion topics included: (1) Initial block sizes for SB SEF transactions and central limit order book; (2) SB SEF public dissemination of transaction data; and (3) Risk reduction services and the possibility of them being categorized as a SB SEF or clearing agency.

[No agenda available for this meeting.]