

## MEMORANDUM

**TO:** File No. S7-06-11 and S7-08-11

**FROM:** Brian P. Murphy  
Counsel to Commissioner Walter  
Office of Commissioner Walter

**DATE:** September 8, 2011

**RE:** Meeting with ICAP CEO John Nixon and ICAP Managing Director  
Patrick J. McCarty (“Meeting Participants”)

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On the above date, Commissioner Walter and Brian P. Murphy met with the Meeting Participants to discuss, among other things, the above referenced file numbers.