

MEMORANDUM

TO: File No. S7-06-11

FROM: Michael Bradley, Special Counsel
Division of Trading and Markets

RE: Implementation of Dodd-Frank Wall Street Reform and Consumer Protection Act

S7-06-11 – Registration and Regulation of Security-Based Swap Execution
Facilities

DATE: January 27, 2020

On November 8, 2019, Commission staff in the Division of Trading and Markets participated in a teleconference with representatives from Tradeweb to discuss developments in the security-based swap market and topics related to security-based swap execution facilities.

The representatives from Tradeweb were Douglas Friedman, Gregory Compa, and Ted Husbeth. Commission staff included Nancy Burke-Sanow, David Liu, Ira Brandriss, Geoff Pemble, Sarah Schandler, Michou Nguyen, and Michael Bradley.