

## **MEMORANDUM**

TO: File No. S7-06-11

FROM: Iliana Lundblad

RE: Implementation of Dodd-Frank Wall Street Reform and Consumer Protection Act  
S7-06-11 – Registration and Regulation of Security-Based Swap Execution  
Facilities

DATE: May 9, 2011

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On May 4, 2011, Robert Cook, Heather Seidel, Thomas Eady, Nancy Burke-Sanow, Michael Gaw, Jeffrey Mooney, Haimera Workie, Catherine Moore, David Labhart, Craig Lewis, Scott Bauguess, Matt Carruth, Cecilia Caglio, Sandra Mortal, David Liu, Michou Nguyen, David Michehl, Kathleen Gray, and Jasmin Sethi, met with James Cawley (CEO, Javelin), Suellen Galish (Managing Director & General Counsel, Javelin), and Chris Augustin (Chief Information Officer, Javelin).

The agenda for the meeting included: (1) Post Dodd-Frank Act: OTC derivative trade integrity considerations (pre-trade and post-trade); (2) Block trades (definition and reporting); and (3) Adoption of central clearing requirements.