

MEMORANDUM

TO: File
FROM: Richard Gabbert
RE: Meeting with Representatives of Citadel and Delta Strategy Group
DATE: June 9, 2015

On June 9, 2015, representatives of the Securities and Exchange Commission (“SEC”) participated in a meeting with representatives of Citadel and Delta Strategy Group. The SEC representatives present were Stephen Luparello, Gary Barnett, Heather Seidel, Carol McGee, Thomas Eady, Michael Gaw, Nancy Burke-Sanow, Yvonne Fraticelli, Bonnie Gauch, Ronesha Butler, Leah Mesfin, David Michehl, Marie-Louise Huth, and Richard Gabbert. The Citadel representatives present were Adam Cooper, Stephen Berger, and Paul Hamill. The Delta Strategy Group representatives present were Graham Harper and Hope Jarkowski.

At the meeting, the SEC, Citadel, and Delta Strategy Group representatives discussed issues related to the implementation of derivatives rules, including market structure issues as they relate to the April 29, 2015 proposal and the trade execution requirement.