

MEMORANDUM

TO: File No. S7-06-11

FROM: Michael E. Coe
Office of Commissioner Luis A. Aguilar

DATE: October 22, 2012

SUBJECT: Meeting with Representatives of the Wholesale Market Brokers
Association, Americas (WMBAA)

On October 16, 2012, Commissioner Aguilar and his counsel, Michael E. Coe, met with the following representatives of the WMBAA:

J. Christopher Giancarlo of GFI Group;
Chris Ferreri of ICAP;
Julian Harding of Tradition;
Shawn Bernardo of Tullett Prebon;
Jeffrey Letzler of BGC; and
Matthew Kulkin, Esq. of Patton Boggs LLP.

The discussion included, among other things, Commission's proposed rules regarding Registration and Regulation of Security-Based Swap Execution Facilities.