

MEMORANDUM

TO: S7-06-11: Registration and Regulation of Security-Based Swap Execution Facilities

FROM: Cristie L. March, Counsel
Office of the Chairman

DATE: August 21, 2012

SUBJECT: ICAP

On August 21, 2012, Cristie March and Nancy Sanow spoke with Patrick McCarty (Managing Director, US Government Relations and Regulatory Affairs, ICAP Americas) to discuss security-based swap execution facility registration requirements.