

MEMORANDUM

TO: File No. S7-06-11

FROM: Adam Moore

RE: Implementation of Dodd-Frank Wall Street Reform and Consumer Protection Act
S7-06-11 – Registration and Regulation of Security-Based Swap Execution
Facilities

DATE: July 5, 2012

On July 2, 2012, representatives from the Division of Trading and Markets (Nancy Burke-Sanow, David Liu, Tom Eady, Michael Gaw and Adam Moore) met with a representative from MarkitServ (Jeffrey Maron).

The topics discussed included FCM credit line monitoring for SEF transactions in cleared security-based swaps.

[No agenda available for this meeting.]