

**MEMORANDUM**

TO: File No. S7-06-11

FROM: Adam Moore

RE: Implementation of Dodd-Frank Wall Street Reform and Consumer Protection Act  
S7-06-11 – Registration and Regulation of Security-Based Swap Execution  
Facilities

DATE: March 5, 2012

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On February 29, 2012, representatives from the Division of Trading and Markets, Nancy Burke-Sanow, Michael Gaw, David Liu, Tom Eady, Amar Kuchinad, Brice Prince, Leah Mesfin, Iliana Lundblad, Sarah Schandler, Tyler Raimo, Susie Cho, Michael Bradley, Natasha Greiner, Darren Vieira, Adam Moore, Chester Hill, and Jasmin Sethi, met with Sean Murphy (ICAP), Steven Cooper (ICAP) and Patrick McCarty (ICAP).

The topics discussed included: (1) the Commission's regulation of SB SEFs; and (2) demonstration of ICAP's electronic swap trading platform.

[No agenda available for this meeting.]