

**MEMORANDUM**

January 18, 2012

To: File No. S7-06-11

From: Gena Lai  
Office of Commissioner Troy A. Paredes

Re: Registration and Regulation of Security-Based Swap Execution Facilities

On January 17, 2012, Commissioner Troy A. Paredes, with Gena Lai, Counsel to the Commissioner, met with the following individuals:

Greg Babyak, Vice President of Government Affairs (Bloomberg LP)

Greg Dumark, Senior Compliance Officer (Bloomberg LP)

George Harrington, Global Head of Fixed Income Trading (Bloomberg LP)

Irene Moseyenko, Legal Department (Bloomberg LP)

Joe Zangri, Global Head of Compliance (Bloomberg Tradebook)

David E. Franasiak, Principal (Williams & Jensen)

George Baker (Williams & Jensen)

The participants discussed generally the Commission's rulemaking on the Registration and Regulation of Security-Based Swap Execution Facilities, as well as the implementation of Title VII of the Dodd-Frank Act.