

To: File No.: S7-05-22
From: Office of Public Engagement
Date: 2/10/23
Re: Meeting with Investment Company Institute

On February 9, 2023, Chair Gensler and SEC staff met with Investment Company Institute (ICI) to discuss matters related to the Shortening the Securities Transaction Settlement Cycle proposal.

Members of the SEC included:

- Chair Gary Gensler
- Heather Slavkin Corzo, Director of Policy
- Jessica Wachter, Chief Economist and Director of the Division of Economic and Risk Analysis
- Haoxiang Zhu, Director of the Division of Trading and Markets
- YJ Fischer, Director of the Office of International Affairs
- Kathleen Hutchinson, Deputy Director of the Office of International Affairs
- Sai Rao, Trading and Markets Counsel

Representatives from ICI included:

- Eric Pan, President and Chief Executive Officer
- RJ Rondini, Director, Securities Operations
- Joanne Kane, Chief Industry Operations Officer
- Susan Olson, General Counsel
- Nhan Nguyen, Assistant General Counsel
- Nico Valderrama, Counsel