

To: File No.: S7-05-22  
From: Office of Public Engagement  
Date: 2/10/23  
Re: Meeting with Depository Trust & Clearing Corporation

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On February 7, 2023, Chair Gensler and SEC staff met with Depository Trust & Clearing Corporation (DTCC) to discuss matters related to the Shortening the Securities Transaction Settlement Cycle proposal.

Members of the SEC included:

- Chair Gary Gensler
- Heather Slavkin Corzo, Director of Policy
- Jessica Wachter, Chief Economist and Director of the Division of Economic and Risk Analysis
- Haoxiang Zhu, Director of the Division of Trading and Markets
- Heather Percival, Trading and Markets Counsel
- Sai Rao, Trading and Markets Counsel

Representatives from DTCC included:

- Frank La Salla, President and Chief Executive Officer
- Ann Shuman, Managing Director and General Counsel
- Murray Pozmanter, Managing Director and President, Clearing Agency Services and Head of Global Business Operations
- Tim Cuddihy, Managing Director and Group Chief Risk Officer
- Nashira Spencer, Managing Director and Chief Security Officer and Head of Operational Technology Risk
- Michele Hillery, Managing Director, General Manager, Equity Clearing and Settlement
- Bari Wolfe, Managing Director, Regulatory Relations