

MEMORANDUM

October 3, 2022

TO: SEC File No. S7-05-22

FROM: Gabriel Eckstein
Office of Commissioner Mark T. Uyeda

RE: In-person meeting with SIFMA

On September 30, 2022 Commissioner Mark T. Uyeda and his counsel, Gabriel Eckstein, had a meeting with the following SIFMA board members and staff at SIFMA's office in New York:

- Laura Chepucavage, Bank of America Corporation
- Robert Hawley, BNP Paribas Securities Corp.
- Gary Kane, Jr., Deutsche Bank, AG
- David M. Lefkowitz, JP Morgan Securities LLC
- Samuel Ramirez, Jr, Samuel A. Ramirez & Co., Inc.
- Claire Santaniello, BNY Mellon | Pershing
- Mark Steffensen, HSBC North Americas Holdings Inc.
- James Wallin, Alliance Bernstein L.P.
- Jennie Rose Jacoby, SIFMA
- Rob Toomey, SIFMA

The participants discussed SIFMA's comment letter filed in connection with the Shortening the Securities Transaction Settlement Cycle release.