

MEMORANDUM

September 26, 2022

TO: File No. S7-05-22

FROM: Ivan Griswold
Office of Commissioner Mark T. Uyeda

RE: Meeting with Depository Trust and Clearing Corporation (“DTCC”)

On September 23, 2022, Commissioner Mark T. Uyeda and his counsels, Ivan Griswold and Robert Fisher, had a meeting with DTCC. Commissioner Uyeda and the attendees met virtually. The DTCC representatives in attendance consisted of:

- Frank La Salla – President and CEO
- Murray Pozmanter – MD, President of DTCC Clearing Agency Services and Head of Global Business Operations
- Timothy Cuddihy – Group Chief Risk Officer
- Ann Shuman – MD, General Counsel
- Bari Jane Wolfe - MD, Regulatory Relations

Among other topics, the participants discussed DTCC’s comment letter filed in connection with the Proposal for Shortening the Securities Transaction Settlement Cycle.