

MEMORANDUM

TO: File Nos. S7-05-22, S7-25-20, S7-10-20

FROM: Brandon Hill – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: SIFMA

DATE: September 14, 2022

On September 14, 2022, Commissioner Jaime Lizárraga, Parisa Haghshenas, Laura D’Allaird, and Brandon Hill met with the following representatives of SIFMA:

Jennie Jacoby
Bradley Edgell
Melissa MacGregor
Joe Corcoran
Ken Bentsen

Among the topics discussed were the Commission’s proposed rules on Shortening the Securities Transaction Settlement Cycle and Proposed Amendments to the National Market System Plan Governing the Consolidated Audit Trail to Enhance Data Security. We also discussed the Commission’s policy statement on Custody of Digital Assets by Special Purpose Broker-Dealers.