MEMORANDUM

TO: Files No. S7-05-22

FROM: Brandon Hill – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and

Exchange Commission

RE: Meeting with DTCC

DATE: September 13, 2022

On September 13, 2022, Commissioner Jaime Lizárraga, Parisa Haghshenas, Laura D'Allaird, Lindsay Moilanen, and Brandon Hill met with following representatives of DTCC:

Frank La Salla – President and CEO
Murray Pozmanter – MD, President of DTCC Clearing Agency Services
Timothy Cuddihy – Group Chief Risk Officer
Ann Shuman – MD, General Counsel
Bari Jane Wolfe – MD, Regulatory Relations
Alison Wolpert – MD, Government Relations

Among the topics discussed was the Commission's proposed rule on Shortening the Securities Transaction Settlement Cycle.