

MEMORANDUM

TO: File No. S7-05-22
FROM: Division of Trading and Markets
RE: Meeting with Representatives of ICI
DATE: July 6, 2022

On July 6, 2022, members of the U.S. Securities and Exchange Commission staff participated in a teleconference via Webex with representatives of the Investment Company Institute (“ICI”). Commission staff included Jeffrey Mooney, Matthew Lee, Elizabeth Fitzgerald, Susan Petersen, Andrew Shanbrom, Jesse Capelle, Mary Ann Callahan, Tanin Kazemi, Roni Bergoffen, and Sharon Park, from the Division of Trading and Markets; Amy Miller, Holly Miller, Jennifer Porter, and Robert Holowka, from the Division of Investment Management; Jessica Wachter, Andrew Glickman, and Juan Echeverri, from the Division of Economic and Risk Analysis. The ICI representatives included Joanne Kane, Susan Olson, Cinda Whitten, Louis Rosato, Jim Kearney, and RJ Rondini.

The participants discussed the following Commission rule proposal: Shortening the Securities Transaction Settlement Cycle (Release No. 34-94196).