

MEMORANDUM

TO: File No. S7-05-22
FROM: Division of Trading and Markets
RE: Meeting with Representatives of SIFMA
DATE: June 30, 2022

On June 30, 2022, members of the U.S. Securities and Exchange Commission staff participated in a teleconference via Webex with representatives of the Securities Industry and Financial Markets Association (“SIFMA”). Commission staff included Haoxiang Zhu, Andrea Orr, David Saltiel, Jeffrey Mooney, Elizabeth Fitzgerald, Susan Petersen, Andrew Shanbrom, Jesse Capelle, Mary Ann Callahan, Tanin Kazemi, Meredith Macvicar, and Sharon Park, from the Division of Trading and Markets; Amy Miller, Holly Miller, Christine Schleppegrell, and Robert Holowka, from the Division of Investment Management; Maggie Simmermon and Lindsay Topolosky, from Division of Examinations; Jessica Wachter, Jill Henderson, Burt Porter and Juan Echeverri, from the Division of Economic and Risk Analysis. The SIFMA representatives included Graeme McEvoy, Michael Miller, Claire Santaniello, Tom Damico, Louis Rosato, John Ferrara, Krista Ryan, Michael Fiscella, Debra Guarino, Brian Steele, Matthew Comstock, Larry Bergmann, Tom Price, Anthony Macchiarulo, and Joseph Seidel.

The participants discussed the following Commission rule proposal: Shortening the Securities Transaction Settlement Cycle (Release No. 34-94196).