

## MEMORANDUM

**To:** File Nos. S7-21-19; S7-25-19; S7-05-20

**From:** Matthew Cook, Senior Advisor to Chairman Jay Clayton

**Re:** Meeting with Representatives of Managed Funds Association

**Date:** March 30, 2020

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On March 26, 2020, Sean Memon (Chief of Staff), Bryan Wood (Deputy Chief of Staff), and Matthew Cook (Senior Advisor) met with the following representatives of the Managed Funds Association and the Alternative Investment Management Association:

- Mark Epley, Executive Vice President & Managing Director, General Counsel, Managed Funds Association
- Benjamin Allensworth, Associate General Counsel, Managed Funds Association
- Jennifer Han, Associate General Counsel, Managed Funds Association
- Carlotta King, Associate General Counsel, Managed Funds Association
- Matthew Newell, Associate General Counsel, Managed Funds Association
- Sarah Vargo, Director, Government Relations, Managed Funds Association
- Jiří Król, Director of Government and Regulatory Affairs, Alternative Investment Management Association
- Suzan Rose, Alternative Investment Management Association
- Darcy Bradbury, Managing Director, the D.E. Shaw group
- Helene Glotzer, Chief Compliance Officer, Bridgewater Associates, LP
- Noah Goldberg, Managing Director & Senior Deputy General Counsel, Citadel
- Mike Kitson, Compliance Associate & Counsel, Bridgewater Associates, LP
- Hunter Landrum, Government Affairs Counsel, Two Sigma Investments, LP

The meeting participants discussed, among other things, the SEC's proposed rules relating to investment adviser advertisements and compensation for solicitations; amending the "accredited investor" definition; and, improving access to capital in private markets.