

## MEMORANDUM

**To:** File No. S7-05-18

**From:** Eric Juzenas  
Counsel to Commissioner Allison Herren Lee  
U.S. Securities and Exchange Commission

**Date:** September 25, 2019

**Re:** Meeting with RBC

On September 12, 2019, Commissioner Allison Herren Lee and Eric Juzenas met with:

- Dan Farrell, Head of Equity Trading, Putnam Investments
- Mett Kinak, Head of Global Equity Market Structure and Electronic Trading, T. Rowe Price
- Dan Royal, Global Head of Equity Trading, Janus-Henderson Investors
- Jason Vedder, Director of Global Trading and Operations, Driehaus Capital Management
- Rich Steiner, Head of Client Advocacy and Market Innovation, RBC Capital Markets
- Danielle Barrett, Analyst, Royal Bank of Canada
- Shawn Maher, Managing Director and Head of US Regulatory and Government Affairs, Royal Bank of Canada.

The Transaction Fee Pilot was discussed.