

MEMORANDUM

To: File No. S7-05-18
From: Richard Gabbert, Counsel to Commissioner Hester M. Peirce
Re: Meeting with Representatives of the New York Stock Exchange

On December 13, 2018, Commissioner Hester Peirce and her legal advisor, Richard Gabbert, met with the following representatives of the New York Stock Exchange:

- Hope Jarkowski; and
- Stacey Cunningham.

Among the topics discussed were equity market structure issues, including the proposed transaction fee pilot.